

The Great American Biotic Interchange in birds

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The sudden exchange of mammals over the land bridge between the previously isolated continents of North and South America is among the most celebrated events in the faunal history of the New World. This exchange resulted in the rapid merging of continental mammalian faunas that had evolved in almost complete isolation from each other for tens of millions of years. Yet, the wider importance of land bridge-mediated interchange to faunal mixing in other groups is poorly known because of the incompleteness of the fossil record. In particular, the ability of birds to fly may have rendered a land bridge unnecessary for faunal merging. Using molecular dating of the unique bird faunas of the two continents, we show that rates of interchange increased dramatically after land bridge completion in tropical forest-specializing groups, which rarely colonize oceanic islands and have poor dispersal abilities across water barriers, but not in groups comprised of habitat generalists. These results support the role of the land bridge in the merging of the tropical forest faunas of North and South America. In contrast to mammals, the direction of traffic across the land bridge in birds was primarily south to north. The event transformed the tropical avifauna of the New World.

land bridge | South America | biogeography | Neotropics

Following the mid-Cretaceous breakup of Gondwanaland and separation from Antarctica in the late Oligocene, South America was an island continent until joined by a land bridge to North America, between 3 and 4 Ma. During its island interval, the mammalian fauna of South America evolved in what has been coined “splendid isolation” (1). Land bridge completion is believed to have initiated the Great American Biotic Interchange (GABI), possibly one of the largest and most rapid episodes of interchange known between continental faunas (1–3). However, adequate appraisal of the role of land bridge formation in promoting a general interchange has been difficult because evidence has been overwhelmingly drawn from fossils for one taxon, the mammals (1, 3–5), and is unresolved to the species level. Interchange dynamics are largely unknown at tropical latitudes, where the majority of faunal and floral mixing occurred but where few fossils have been excavated.

To understand the contribution of a completed land bridge to faunal and floral mixing between North and South America, we need a detailed analysis of the timing of interchange events at tropical latitudes. Here we provide such an analysis of interchange events in several families of passerine birds, a group that inhabits both temperate and tropical regions of the New World and makes up the bulk of avian species diversity. Like most terrestrial groups, birds lack a good fossil record, especially from tropical latitudes, and so the effects of land bridge formation on avian interchange between the continents is poorly understood (6). We used molecular phylogenetic data to overcome this limitation, and maximum-likelihood estimation to compare rates of avian interchange before and after land bridge completion. Faster rates of avian interchange between North and South America after ≈ 3.5 Ma, compared with before 3.5 Ma, would support the view that land bridge formation initiated an abrupt mixing of the faunas of North and South America. In contrast, a finding of similarly high interchange rates before and after land bridge completion would support a more protracted history of

interchange. We compare differences in the timing of interchange in passerine families that are believed to cross water barriers frequently with tropical forest-specialized clades, which rarely do so.

Results and Discussion

To measure exchange rates, we focused on four passerine families that straddle the two continents and exhibit some of the greatest numbers of interchange events: antbirds (Thamnophilidae), woodcreepers (Dendrocolaptidae), tanagers (Thraupidae), and blackbirds (Icteridae). We chose these families because they are highly diverse in tropical regions of both North and South America and jointly account for 30% of all passerine species in the New World. These families also represent a range of degrees of habitat specialization and dispersal abilities. The antbirds and woodcreepers are restricted to tropical forest, whereas many blackbirds and tanagers are more generalist (7, 8). This difference is likely to affect the propensity of these groups to cross open-water gaps. For example, of the four families analyzed only the blackbirds and tanagers have representatives on Caribbean islands (Table 1), and many species of antbirds and woodcreepers and other tropical forest-restricted species are reluctant to fly across open-water gaps of as little as 100 m (9). We sampled 457 of the 734 species belonging to these families, and in each family included all clades occurring in both North and South America. We sampled populations from both sides of the land bridge in almost all lineages straddling the divide, and subsampled species from clades exhibiting no interchange events. A dated molecular phylogeny was generated for each family. In our analysis of interchange events between North and South America, the data at the tips of each phylogeny are the landmasses on which each species resides. Some interchange events are represented by the same species in both continents, and in such cases local populations within each continent were sampled from either side of the land bridge and were similarly coded according to landmass (see *SI Text*, Figs. S1–S3, Tables S1–S3, and *Dataset S1*). North America is here considered to include all of Middle America south to central Panama, and South America includes the Darien region of the Panamanian and Colombian borders southward. The land bridge was completed between central Panama and the Darien.

We used maximum likelihood to test the effect of land bridge formation on the rate of interchange between the continents (10). We compared two probabilistic models of interchange along the phylogeny for each family separately. In the single-rate model, the per-lineage crossing rate between continents was constrained to be constant through time, before and after land bridge completion (3.5 Ma.). Under the two-rate model (10), the

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Table 1. Maximum likelihood estimates of interchange rates

Family	<i>n</i>	<i>q_b</i>	<i>q_a</i>	<i>q_a / q_b</i>	Mean log-likelihood	LR	<i>P</i>
Antbird							
1 rate	1	0.13			−82.12		
2 rate	3	0.025 ± 0.00105 (SE)	0.579 ± 0.00778 (SE)	31.96 ± 2.5 (SE)	−61.506 ± 0.467 (SE)	41.23	1.11 × 10 ^{−9}
Woodcreeper							
1 rate	1	0.158			−54.02		
2 rate	3	0.043 ± 0.0017 (SE)	0.394 ± 0.005 (SE)	11.48 ± 0.696 (SE)	−47.2 ± 0.206 (SE)	13.64	0.00111
Tanager							
1 rate	1	0.11			−152.55		
2 rate	3	0.012 ± 0.00062 (SE)	0.167 ± 0.00050 (SE)	24.508 ± 2.84 (SE)	−139.648 ± 0.246 (SE)	25.8	2.50 × 10 ^{−6}
Blackbird							
1 rate	1	0.18			−70.57		
2 rate	3	0.11 ± 0.0012 (SE)	0.298 ± 0.00274 (SE)	2.76 ± 0.0509 (SE)	−68.4 ± 0.078 (SE)	4.34	0.114

Values estimated from 100 randomly chosen posterior trees. Parameters estimated are as follows: *q*, rate parameter under one-rate model; *q_b* and *q_a*, rates before and after the best fit breakpoint under two-rate model (as shown in Fig. 1A). Standard errors reflect uncertainty in node age estimates. Abbreviations as follows: LR, likelihood ratio; *n*, number of free parameters; *P*, *P*-value for likelihood ratio test.

rates of crossing were free to differ before and after a specific time point that was also estimated from the data. Comparison of the two models tests whether a GABI occurred, and whether its start coincided with land bridge completion or was initiated sooner (e.g., along the proto-land bridge, consisting of a string of islands) or later, in association with events long after land bridge completion. With this approach it is not necessary to reconstruct the continent on which the ancestors occurred. Rather, crossing rates are estimated over all possible ancestor reconstructions, weighting each by its likelihood support (10–12). The two-rate model gave a significantly better fit than the one-rate model in woodcreepers, antbirds, and tanagers. In each of these families, the estimated rate was significantly higher after the breakpoint than before (see Table 1). In the two tropical forest-restricted families, antbirds and woodcreepers, the best-fit breakpoint occurred within an estimated several hundred thousand years after land bridge completion (Fig. 1), strongly supporting the role of land bridge completion in increasing interchange rates. In contrast, a breakpoint of 6.5 Ma gave the best fit to the tanager data, suggesting an initiation of GABI during the proto-land bridge period, although a breakpoint between 3.5 and 4 Ma gave almost equal support (see Fig. 1). The best-fit breakpoint in blackbirds at 2.9 Ma is also associated with land bridge completion, but support for the two-rate model was weak and we cannot rule out the possibility that interchange rates before and after land bridge completion were similar in this habitat generalist family.

Maximum-likelihood ancestor reconstructions were used to visualize these results (see *SI Text*, Section B). Based on this analysis, a total of 113 crossing events between continents were reconstructed (see *Materials and Methods*) (18 in woodcreepers, 25 in antbirds, 45 in tanagers, and 25 in blackbirds). We were able to approximate a date for 88 of these interchange events, of which 72 postdated land bridge completion (3.5 Ma; an additional 14 crossing events postdated 3.5 Ma but could not be dated more precisely). We used these reconstructions to estimate the rate of crossing during 0.5- or 1.0-million-year time intervals by dividing the number of reconstructed crossing events in the interval by the sum of evolutionary time (i.e., summed branch lengths in each interval) (see Fig. 1). These rates control for the possibility that some crossing events went extinct leaving no descendants to the present (see *Materials and Methods*). For the antbirds and woodcreepers, a high dispersal rate from South America into North America became established at or soon after the completion of the land bridge and has continued to the present. In contrast, in the tanagers, which are also of South American ancestry, a number of pre-land bridge dispersal events

into North America occurred (one of which produced the only endemic North America tanager genus, *Acanthidops*), and crossings have continued up to the present, with one inferred recent back-colonization. Unlike the other three families, North America is the inferred ancestral continent for the blackbirds (see Fig. S2). The reconstructions suggest a pre-land bridge burst of dispersal from North to South America between 7 and 10 Ma, when representatives of several major clades colonized the continent (see Fig. 1). Blackbird interchange from north to south has continued up to the present. However, the results suggest that back-colonization from south to north occurred primarily after land bridge completion in this group (see Fig. 1). Significantly, most of these south-to-north blackbird taxa (five of seven, three species of *Psarocolius* and two of *Cacicus*) are primarily associated with tropical forest.

To further test the association between tropical forest habitat specialization, dispersal ability, and the impact of the land bridge, we estimated earliest dates of crossing of 14 of the 26 passerine bird families involved in the biotic interchange using dated molecular phylogenies (Table 2). This process added a third primarily tropical forest-specialized family to the previous two, the tapaculos (Rhinocryptidae), added five families without tropical forest specialists, and brought to six the number of families with a mixture of the two. It was not possible to analyze these additional families in the same way as the woodcreepers, antbirds, tanagers, and blackbirds because the number of crossing events was too few or the level of phylogenetic detail was too sparse to allow us to compare crossing rate before and after land bridge completion. Earliest reconstructed crossing events in the three forest-specializing families all date between 3.0 and 4.0 Ma, when the land bridge was completed. In contrast, earliest reconstructed crossing events predated the land bridge in 10 of the 11 families not specialized exclusively in tropical forest (*P* = 0.011, Fisher's exact test). Across passerine families (see Table 2), tropical forest specialization is also tightly associated with absence on Caribbean islands (*P* = 0.0019) and with an absence of long-distance migrants (*P* = 0.0006). These results strengthen the conclusion that tropical forest-inhabiting families required a completed land bridge to cross between the continents, while habitat-generalist families did not. Because the remaining unsampled tropical forest-specializing families (Conopagidae, Cotingidae, Formicariidae, Grallariidae, and Pipridae) also lack migratory ability and do not occur on Caribbean islands, we predict that they too will be found to have crossed only after land bridge formation. Considering that all eight of these tropical forest-specializing passerine families (which added 80 species to the North American fauna) (see Table 1) originated in South

Table 2. Earliest reconstructed dispersal dates for 14 of 26 passerine families involved in the GABI

Family	Common name	Continent of origin	Number of continental species (NA/ SA)	Number of reconstructed dispersal events	Earliest reconstructed interchange date (Ma)	Migratory	Colonized Caribbean	Tropical forest specialist
Dendrocolaptidae	Woodcreepers	SA	19/46	18	3.6	No	No	Yes
Rhinocryptidae	Tapaculos	SA	1/54	1	3.8	No	No	Yes
Thamnophilidae	Antbirds	SA	26/218	25	3.0	No	No	Yes
Tyrannidae	Flycatchers	SA	125/374	Many	12.7	Yes	Yes	Mixed
Cinclidae	Dippers	NA	1/2	1	≈3.5	No	No	No
Emberizidae	Sparrows	NA	74/48	Many	> 4.8	Yes	Yes	Mixed
Hirundinidae	Swallows	NA	15/20	10	13.1	Yes	Yes	No
Icteridae	Blackbirds	NA	46/60	25	8.4	Yes	Yes	Mixed
Megaluridae	Donacobius	SA	0/1	1	14.7	No	No	No
Mimidae	Mockingbirds	NA	16/7	3	6.4 or 7.5	Yes	Yes	No
Motacillidae	Pipits	?	5/7	2 or 3	4.7 or 8.2	Yes	No	No
Thraupidae	Tanagers	SA	50/334	46	8.4	No	Yes	Mixed
Troglodytidae	Wrens	NA	44/46	> 23	15.6	Yes	Yes	Mixed
Turdidae	Thrushes	?	38/32	Many	13.3	Yes	Yes	Mixed

See Section D in the *SI Text* for additional details, ancestor state reconstructions, and dated phylogenies. Earliest dispersal dates were not available for the following passerine families of South American origin [Conopophagidae (1 species NA/10 species SA), Cotingidae (10/59), Formicariidae (3/11), Grallariidae (4/49), Furnariidae (24/234), Pipridae (11/47)] or North America origin [Alaudidae (1/1), Cardinalidae (34/19), Corvidae (32/15), Fringillidae (32/34), Parulidae (74/40), Polioptilidae (8/9), Vireonidae (29/23)]. None of these South American-derived families have colonized the Caribbean or possess long-distance migrants and all but Furnariidae specialize in tropical forest. None of these North American-derived families specialize solely in tropical forest. The following North American-derived families have also colonized the Caribbean: Corvidae, Fringillidae, Parulidae, Polioptilidae, and Vireonidae. The following North American-derived families also possess long-distant migrants: Alaudidae, Cardinalidae, Fringillidae, Parulidae, Polioptilidae, Vireonidae. Earliest reconstructed dates in Turdidae, Emberizidae, and Tyrannidae were obtained from well-sampled clades within those families and sampling of additional clades may reveal even older dates. Dates within the remainder of families are from detailed analysis for the entire family. Tapaculos are primarily associated with tropical forest, although a small number of species occur in southern temperate forest and bushland.

museums (see *Dataset S1*). We sampled 44 of 51 woodcreeper, 100 of 219 antbird, 85 of 100 blackbird, and 228 of 364 tanager species.

DNA Sequencing and Phylogenetic Analysis. The woodcreeper, antbird, tanager, and blackbird phylogenies were generated from a combination of GenBank sequences and a large number of additional sequences generated specifically for the present study (*Fig. S2*). Partial (≈1000 bp) or complete (1143

bp) sequences of the mitochondrial cytochrome *b* (*cyt b*) gene were sequenced for all individuals using standard protocols (24). The complete mitochondrial NADH dehydrogenase subunit 2 gene (*ND2*: 1041 bp) was sequenced using primers L5215 (39) and H6313 (40) for a subset of individuals representing most of the major lineages included in each phylogeny. *ND2* was also sequenced for one or more individuals for most lineages that occur in both North and South America. Partial sequences of the nuclear recombination activating protein 1 (*RAG1*) gene and *c-myc* exon 3 were sequenced (for protocols see ref. 41) for major woodcreeper lineages to better resolve basal nodes. This sequencing effort was augmented with other mitochondrial genes and nuclear introns available from GenBank (see *Dataset S1*) (woodcreepers: *myoglobin*; antbirds: *myoglobin*, *NADPH*, *ND3*, *NADPH*, *B-fibrinogen*; tanagers: *ATPase*, *COI*, *12s*, *myoglobin*, *RAG1*, *cmyc*; blackbirds: *COI*, *12s*).

Dated phylogenetic trees were generated in three steps. First, Bayesian topology estimates were obtained in MrBayes v3.7 (42) using the GTR-gamma model and a full dataset of mitochondrial and nuclear genes for all individuals. In many cases, species occurring in both Central and South America were represented by several samples from different geographic localities. To make phylogenetic reconstruction computationally feasible for these large datasets, many redundant samples and localities within each continent were excluded. Analyses were run for a minimum of 5-million generations and were sampled every 5,000 generations after an initial burn-in of at least 1-million generations. Sampled Bayesian trees were used to construct majority-rule consensus cladograms with strong posterior support for most nodes. Second, ultrametric estimates of branch-lengths along the consensus topology were generated under a Bayesian framework in BEAST v1.4.2 (43, 44) using only the cytochrome *b* dataset and fewer intraspecific samples (redundant samples within populations were excluded). This two-stage process was necessary because the multigene dataset provided more robust topologies, but we estimated node ages only with cytochrome *b* for which we have a robust molecular clock. The uncorrelated lognormal relaxed-clock model [with Yule prior for branch lengths (44)], which does not rely on the assumption of molecular rate constancy throughout the tree, was used in combination with the GTR-gamma model of sequence evolution. The duration, sampling frequency, and burn-in were the same as in tree construction. Mean consensus branch lengths were obtained from the Bayesian samples. In addition, for each family, 100 randomly selected posterior trees from the BEAST analysis were used to determine the effect of error associated with node age estimates on the parameter estimates in Table 1. Because posterior trees are each

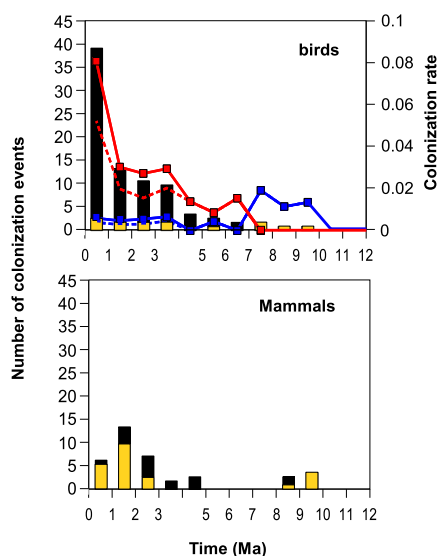


Fig. 2. Comparison of crossing dates and rates of crossing of North and South American birds (woodcreepers, antbirds, tanagers, and blackbirds) and mammals. Mammal dates are based on fossil information provided in refs. 3 to 5. Bird dates are based on molecular estimates. Crossings from North to South America are indicated with yellow bars and those from South to North America are in black. Crossing rates from North to South America are shown by a blue line and those from South to North America by a red line.

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